

# **Formale Techniken in der Software-Entwicklung**

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**Change of Data Structure**

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# Goals

- Refinement of data structures and specifications
  - Simulation of data structures
  - FRI-implementations
- Parameterized specifications
  - Signature and theory morphism
  - Parameter passing in Maude

# Change of Data Structures

"Simulation" of a  $\Sigma$ -structure through a  $\Sigma_1$ -structure.

- A  $(S, F)$ -structure  $A$  is simulated by a  $(S_1, F_1)$ -structure  $B$  if every carrier set  $A_s$  of  $A$  is represented by a subset

$$Rep_s \subseteq B_{s'}$$

of a carrier set  $B_{s'}$  of  $B$  and if every function symbol  $f \in F$  is represented by a function symbol  $f_1 \in F_1$ .

- Plenty elements of  $Rep_s$  can represent the same element of  $A_s$ . This induces a congruence relation  $\sim_s$ .
  - $Rep$  must be closed under the operations of  $F$ .
  - $\sim_s$  must be compatible with the operations of  $A_s$ .
- 
- **Remark**
    - Often  $\Sigma_1$  has to be extended by definitions of the  $\Sigma$ -operations.
    - In Maude, Rep is usually represented by a subsort.

# Simulation

## Definition(Simulation):

1. Let  $\Sigma \subseteq \Sigma_1$ .

A  $\Sigma_1$ -structure  $B$  **simulates identically** a  $\Sigma$ -structure  $A$  w.r.t.  $Rep^B, \sim^B$ , if

- (a)  $Rep_s^B \subseteq B_s$  for all  $s \in S$ ,
- (b)  $\sim_s^B$  is a  $\Sigma$ -congruence on  $Rep_s^B$  for all  $s \in S$ , and
- (c)  $A$  is isomorphic to  $Rep^B / \sim^B$  whereby  
 $Rep^B / \sim^B =_{def} ((Rep_s^B) / \sim_s^B)_{s \in S}$ .

2. A  $\Sigma_1$ -structure  $B$  **simulates a  $\Sigma$ -structure  $A$**  w.r.t. **renaming**  $\rho : \Sigma \rightarrow \Sigma_1$ ,  $Rep^B$  and  $\sim^B$  if

- (a)  $Rep_s^B \subseteq B_{\rho(s)}$  for all  $s \in S$ ,
- (b)  $\sim_s^B$  is a  $\rho(\Sigma)$ -congruence on  $Rep_s^B$  for all  $s \in S$ , and
- (c)  $A \cong Rep^B / \sim^B$ .

Therefore every identic simulation is a simulation. W.r.t. the inclusion  $in : \Sigma \rightarrow \Sigma_1$ .

## Example: Sets by lists

- Consider the following signature of sets over natural numbers:

```
Sig(CHAR-SET) =
    including Sig(BOOL) .           including Sig(CHAR) .
    sort Set .
    op mt : Set .
    op {__} : Char -> Set .
    op add : Char Set -> Set .
    op __cup__ : Set Set -> Set .
    op __in__ : Char Set -> Bool .
```

- Let  $P_{fin}(A)$  be the standard  $\text{Sig}(\text{CHAR-SET})$ -algebra of finite sets of character symbols.
- Let  $\text{Sig}(\text{SET-by-SEQ})$  be a signature of lists over character symbols which includes the operations of  $\text{Sig}(\text{CHAR-SET})$ ; i.e.  $\text{Sig}(\text{SET-by-SEQ})$  has the form

```
sorts Char, Seq, ... op empty: -> Seq . ...
```

- Let  $\rho: \text{Sig}(\text{CHAR-SET}) \rightarrow \text{Sig}(\text{SET-by-LIST})$  defined by  
sort Set to Seq .  
i.e.  $\rho(\text{Set}) = \text{Seq}$  and  $\rho(x) = x$  otherwise .

# Umbenennung von Spezifikationen (Renaming)

- Ein *Signaturmorphismus (Signature Morphism, Renaming)* ist eine Abbildung zwischen Signaturen, bei der die Funktionalität der abgebildeten Funktionssymbole mit der Abbildung der Sorten verträglich ist.

Seien  $\Sigma = (S, F)$  und  $\Sigma' = (S', F')$  Signaturen. Eine Abbildung  $\sigma = (\sigma_{sort}, \sigma_{op})$  mit

$$\sigma_{sort} : S \rightarrow S' \quad \sigma_{op} : F \rightarrow F'$$

heißt Signaturmorphismus von  $\Sigma$  nach  $\Sigma'$ , geschrieben  $\sigma : \Sigma \rightarrow \Sigma'$ , wenn für alle  $f \in F_{\langle \langle s_1, \dots, s_n \rangle, s \rangle}$  gilt

$$\sigma_{op}(f) : \sigma_{sort}(s_1), \dots, \sigma_{sort}(s_n) \rightarrow \sigma_{sort}(s)$$

das heißt, wenn die Funktionalität von  $\sigma_{op}(f)$  mit der Abbildung der Sorten verträglich ist.

# Signaturmorphismus

**Beispiel** Verträglichkeit von  $\rho_{sort}$  und  $\rho_{op}$ .

Ist etwa

- $\rho_{sort}(\text{Set}) = \text{Seq}$ ,  $\rho_{sort}(\text{Char}) = \text{Char}$ ,  $\rho_{sort}(\text{Bool}) = \text{Bool}$  und  
 $\rho_{op}(\text{in}) = \text{in}$  und gilt
- $\text{in} : \text{Char } \text{Set} \rightarrow \text{Bool}$  in der Signatur  $\Sigma$ ,

dann muss in der Bildsignatur gelten

- $\text{in} : \text{Char } \text{Seq} \rightarrow \text{Bool}$

# Signaturmorphismus in Maude

Seien Signaturen  $\Sigma$  und  $\Sigma'$  gegeben.

Eine Umbenennung  $\sigma$  (zwischen Zeichen) der Form

sort  $s_1$  to  $q_1$  .

...

sort  $s_k$  to  $q_k$  .

op  $f_1$  to  $g_1$  .

...

op  $f_1$  to  $g_1$  .

wobei

Sorten von  $\Sigma$  auf Sorten von  $\Sigma'$  und

Funktionszeichen von  $\Sigma$  auf Funktionszeichen von  $\Sigma'$

(ohne Angabe der Funktionalität)

so abgebildet werden, dass sie verträglich mit der Abbildung der Sorten sind,

ist ein Signaturmorphismus  $\sigma : \Sigma \rightarrow \Sigma'$ .

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# Specification of Finite Sets in Maude

```
fmod CHAR-SET is
protecting BOOL . protecting STRING .

sorts Set .           subsorts Char < Set .

var E E1 : Char . var S : Set .

op mt : -> Set          [ctor] .
op _ : Set  Set  -> Set  [ctor comm assoc id: mt] .
eq E E = E .

op _in_ : Char Set -> Bool .
eq E in (E1 S) = (E == E1) or (E in S) .
eq E in mt = false .
op add : Char Set -> Set .
eq add(E, S) = E S .

. . .
```

# Specification of Finite Lists in Maude

```
fmod CHAR-SEQ is
    protecting BOOL .  protecting STRING .

    sorts Seq NeSeq .  subsorts Char < NeSeq < Seq .

    op empty : -> Seq          [ctor] .
    op _;_ : Seq  Seq -> Seq      [ctor assoc id: empty] .
    op _;_ : NeSeq NeSeq -> NeSeq [ctor assoc id: empty] .

    vars S S1 : Seq .  vars E E1 : Char .

    op _in_ : Char Seq -> Bool .
    eq E in (E1 ; S) = (E == E1) or (E in S) .

    op dell : Char Seq -> Seq .
    *** dell(E, S) deletes one occurrence of E in S
    op delAll : Char Seq -> Seq .
    *** delAll(E, S) deletes all occurrences of E in S
    . . .
```

## Simulation of Sets by Lists

The structure  $A^*$  of finite lists simulates the structure of finite sets  $P_{fin}(A)$  on character symbols w.r.t. the renaming  $\rho$  in the following different ways:

- Let  $U$  be the structure  $(A, A^*, \varepsilon \dots)$  of (unordered) lists over character symbols.
- Let  $G$  be the structure

$$(A, \{ \langle x_1, \dots, x_n \rangle \mid x_1 < \dots < x_n, n \geq 0 \}, \varepsilon \dots)$$

of ordered lists.

- Let  $SG$  be the structure

$$(A, \{ \langle x_1, \dots, x_n \rangle \mid x_1 \leq \dots \leq x_n, n \geq 0 \}, \varepsilon \dots)$$

of weakly ordered lists.

# Simulation of Sets by Unordered Lists

$$\text{Rep}_{\text{Set}}^U = A^*$$

$$\begin{aligned}\text{empty}^U &= \epsilon \\ x \text{ in }^U \langle x_1, \dots, x_n \rangle &\Leftrightarrow x = x_i \text{ for some } i \in \{1, \dots, n\} \\ \{x\}^U &= \langle x \rangle \\ \text{add}^U(x, \langle x_1, \dots, x_n \rangle) &= \langle x, x_1, \dots, x_n \rangle \\ \langle x_1, \dots, x_n \rangle \cup^U \langle y_1, \dots, y_m \rangle &= \langle x_1, \dots, x_n, y_1, \dots, y_m \rangle \\ \langle x_1, \dots, x_n \rangle \sim^U \langle y_1, \dots, y_m \rangle &\Leftrightarrow \{x_1, \dots, x_n\} = \{y_1, \dots, y_m\}, \\ &\quad \text{both sequences have the same elements}\end{aligned}$$

# Realisation in Maude

## Extension of CHAR-SEQ by the operations of Set

```
fmod CHAR-SEQ-UNORDERED is
    protecting BOOL .    protecting CHAR-SEQ .
    var E E1 : Char.    var S S1 : Seq .

    op mt : -> Seq .
    eq mt = empty .

    op __ : Seq Seq -> Seq .
    op __ : NeSeq NeSeq -> NeSeq .
    eq S S1 = S ; S1 .

    op add : Char Seq -> NeSeq .
    eq add(E, S) = E ; S .

    op delete : Char Seq -> Seq .
    eq delete(E, S) = delAll(E, S) .
endfm
```

# Realisation in Maude

## Definition of Rep and ~

```
fmod CHAR-SET-BY-UnOSEQ is
    protecting CHAR-SEQ-UNORDERED .
    sort Rep .           subsort Rep < Seq .

    var E : Char .       var S S1 : Seq .       var R R1 : Seq .

    op _sub_ : Seq Seq -> Bool .      *** Auxiliary operation
    eq empty sub S = true .
    eq E ; S sub S1 = (E in S1) and (S sub S1) .

    mb S : Rep .

    op _~_ : Seq Seq -> Bool .
    eq R ~ R1 = (R sub R1) and (R1 sub R) .
endfm
```

Then the initial algebra  $U$  of CHAR-SET-UNORDERED simulates  $P_{fin}(A)$  w.r.t.

$\rho : \text{Sig}(\text{CHAR-SET}) \rightarrow \text{Sig}(\text{CHAR-SEQ-UNORDERED})$ , sort Set to Seq,  
 $\text{Rep}^U$ ,  $\sim^U$

# Simulation of Sets by Ordered Lists

$$\begin{aligned}
 Rep_{\text{Set}}^G &= \{\langle x_1, \dots, x_n \rangle | x_1 < \dots < x_n, n \geq 0\} \\
 \text{empty}^G &= \epsilon \\
 x \text{ in }^G \langle x_1, \dots, x_n \rangle &\Leftrightarrow x = x_i \text{ for some } i \in \{1, \dots, n\} \\
 \{x\}^G &= \langle x \rangle \\
 \text{add}^G(x, \langle x_1, \dots, x_n \rangle) &= \begin{cases} \langle x_1, \dots, x_i, x, x_{i+1}, \dots, x_n \rangle \\ \quad \text{if } x_i < x < x_{i+1} \\ \langle x_1, \dots, x_n \rangle \\ \quad \text{if } x = x_i \text{ for some } i \end{cases} \\
 \langle x_1, \dots, x_n \rangle \cup^G \langle y_1, \dots, y_m \rangle &= \langle z_1, \dots, z_k \rangle \in Rep_{\text{Set}}^G, \\
 \text{whereby } \{x_1, \dots, x_n, y_1, \dots, y_m\} &= \{z_1, \dots, z_k\}
 \end{aligned}$$
  

$$s_1 \sim^G s_2 \Leftrightarrow s_1 = s_2$$

# Realisation in Maude

- The specification of ordered lists in Maude is also based on CHAR-SEQ.
- CHAR-SEQ is extended by a boolean function isOrdered and auxiliary operations for inserting and merging elements:

```
fmod CHAR-SEQ-ORDERED is
  protecting BOOL .  protecting CHAR-SEQ .
  var E E1 : Char .  var S S1 : Seq .  var NeS : NeSeq .

  op isOrdered : Seq -> Bool .
  eq isOrdered(empty) = true .
  eq isOrdered(E) = true .
  eq isOrdered(E ; E1 ; S) = (E < E1) and isOrdered(E1 ; S) .

  op insert : Char Seq -> Seq .
  eq insert(E, empty) = E .
  eq insert(E, E ; S) = E ; S .
  ceq insert(E, E1 ; S) = E ; E1 ; S if (E < E1) .
  eq insert(E, E1 ; S) = E1 ; insert(E, S) [owise] .

  op merge : Seq Seq -> Seq .
  eq merge(empty, S) = S .
  eq merge(S1 ; E, S) = merge(S1, insert(E, S)) .
```

# Realisation in Maude

## Definition of Rep and ~

```
fmod CHAR-SET-BY-OSEQ is
    protecting CHAR-SEQ-ORDERED .
    sort Rep .           subsort Rep < Seq .
    var E E1 E2 : Char .
    var S S1 S2 : Seq .
    cmb S : Rep if isOrdered(S)= true .
    op _~_ : Rep Rep -> Bool .
    eq empty ~ empty = true .
    eq (E ; S) ~ empty = false .
    eq empty ~ (E ; S) = false .
    eq (E1 ; S1) ~ (E2 ; S2) = (E1 == E2) and (S1 ~ S2) .
endfm
```

Then the initial algebra  $G$  of CHAR-SEQ-ORDERED simulates  $P_{fin}(A)$  w.r.t.  
 $\rho : \text{Sig}(\text{CHAR-SET}) \rightarrow \text{Sig}(\text{CHAR-SEQ-ORDERED})$ , sort Set to Seq,  
 $\text{Rep}^G, \sim^G$

# Simulation of Sets by Weakly Ordered Lists

$Rep_{Set}^{SG}$	$=$	$\{\langle x_1, \dots, x_n \rangle   x_1 \leq \dots \leq x_n, n \geq 0\}$
$\text{empty}^{SG}$	$=$	$\epsilon$
$\{x\}^{SG}$	$=$	$\langle x \rangle$
$x \text{ in}^{SG} \langle x_1, \dots, x_n \rangle$	$\Leftrightarrow$	$x = x_i$ for some $i \in \{1, \dots, n\}$
$\text{add}^{SG}(x, \langle x_1, \dots, x_n \rangle)$	$=$	$\langle x_1, \dots, x_i, x, x_i + 1, \dots, x_n \rangle$ if $x_i \leq x \leq x_{i+1}$
$\langle x_1, \dots, x_n \rangle \cup^{SG} \langle y_1, \dots, y_m \rangle$	$=$	$\langle z_1, \dots, z_k \rangle \in Rep_{Set}^{SG}$ whereby $\langle z_1, \dots, z_k \rangle$ is a weakly ordered permutation of $\langle x_1, \dots, x_n \rangle ++ \langle y_1, \dots, y_m \rangle$
$\langle x_1, \dots, x_n \rangle \sim^{SG} \langle y_1, \dots, y_m \rangle$	$\Leftrightarrow$	$\{x_1, \dots, x_n\} = \{y_1, \dots, y_m\}$ , both sequences have the same elements

# Constructing Simulations

The **Forget-Restrict-Identify** method for constructing simulations

## 1. Forget:

Forget all symbols, that do not stem from  $\rho(\Sigma)$ .

## 2. Restrict:

Restrict the carrier sets to the representing sets  $\text{Rep}_s$ .

## 3. Identify:

Build the quotient w.r.t.  $\sim_s$ .

### • Remark

- Often  $\Sigma_1$  has to be extended by definitions of the  $\Sigma$ -operations. The all sorts and operations not occurring in  $\Sigma$  are forgotten.
- In Maude, Rep is usually represented by a subsort.

# FRI-Implementation

## Definition:

A specification  $SP_1$  **FRI-implements** a specification  $SP$  w.r.t. a signature morphism  $\sigma : \text{Sig}(SP) \rightarrow \text{Sig}(SP_1)$  (write  $SP_1 \rightsquigarrow_{\sigma} SP$ ), if every model  $B$  of  $SP_1$  simulates a model of  $SP$  w.r.t. suitable  $Rep^B$  and  $\sim^B$ .

## • Examples

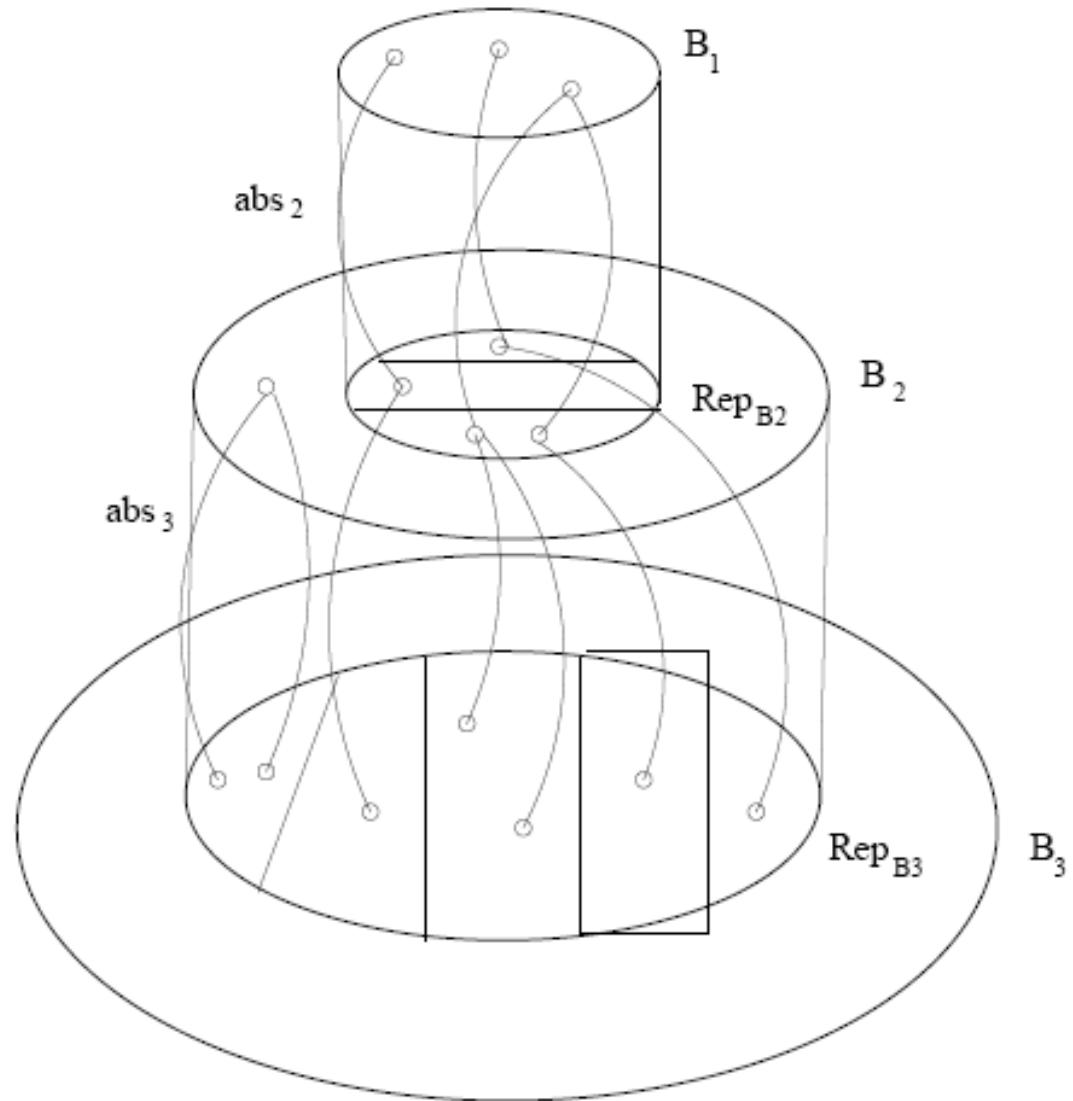
- CHAR-SEQ-ORDERED **FRI-implements** CHAR-SET w.r.t
  - $\rho : \text{Sig}(\text{CHAR-SET}) \rightarrow \text{CHAR-SEQ-ORDERED}$ , sorts Set to Seq and
  - Rep and  $\sim$  as defined by CHAR-SET-BY-OSEQ

## Theorem:

The implementation relationship  $\rightsquigarrow_{\sigma}$  is transitive: if  $SP_1 \rightsquigarrow_{\sigma_1} SP_2$  and  $SP_2 \rightsquigarrow_{\sigma_2} SP_3$  implies  $SP_1 \rightsquigarrow_{\sigma_1 \circ \sigma_2} SP_3$ .

# FRI-Implementation

- Proof Idea



## Parameterized Specifications

- Many standard data structures such as sets, lists and stacks can be seen as parameterized structures where the data structure of elements plays the role of formal parameter.

# Example: Parameterized Stacks

```
fmod STACK{X :: TRIV} is
    sorts Stack{X} NeStack{X} .
    subsort NeStack{X} < Stack{X} .
```

Parametrisierte Sorten

```
op empty : -> Stack{X} [ctor] .
op push : X$Elt Stack{X} -> NeStack{X} [ctor] .
op pop : NeStack{X} -> Stack{X} .
op top : NeStack{X} -> X$Elt .
```

Qualifizierte Sorte  
des formalen Parameters

```
var E : X$Elt .
var S : Stack{X} .
```

```
eq top(push(E, S)) = E .
eq pop(push(E, S)) = S .
endfm
```

# Parametrisierte Spezifikationen

Eine **parametisierte Spezifikation** (oder generische Spezifikation) hat die Form

```
fmod SN{ SP1, ..., SPk } is  
    Body  
endfm
```

wobei

SN der Name der parametrisierten Spezifikation,  
SP1, ..., SPk die Namen der formalen Parameter**theorien** und  
Body der Rumpf der Spezifikation ist.

SN ist wohldefiniert, wenn der Rumpf die formalen Parameter erweitert, d.h. wenn  
including SP1 . . . including SPk . Body  
wohldefiniert ist .

## Parameterübertragung

Zur Parameterübertragung muss der formale Parameter mit dem aktuellen Parameter in Beziehung gebracht werden:

- Die Signatur des formalen Parameters muss in die Signatur des aktuellen Parameters umbenannt werden und
- der aktuelle Parameter muss die Anforderungen des formalen Parameters erfüllen.

# Theoriemorphismus

Eine aktuelle Parameterspezifikation SP2 ist korrekt bzgl. einer formalen Parametertheorie SP1 , wenn SP2 alle Eigenschaften (Axiome) von SP1 erfüllt:

Ein Theoriemorphismus  $\alpha: SP1 \rightarrow SP2$  erhält die Theorie von SP1;

**Definition (Theoriemorphismus):**

Ein **Theoriemorphismus**  $\alpha: SP1 \rightarrow SP2$  ist ein

Signaturmorphismus  $\alpha: \text{sig}(SP1) \rightarrow \text{sig}(SP2)$ ,

so dass für jedes Modell  $M \in \text{Mod}(SP2)$  gilt:

$M|_\alpha \in \text{Mod}(SP1)$ ;

d.h.

SP2 erfüllt alle Axiome von SP1 (modulo Umbenennung).

## Sicht (View)

Jeder Theoriemorphismus  $\alpha: SP1 \rightarrow SP2$  induziert eine Sicht von SP1 nach SP2:

### **Definition (Sicht in Maude)**

Sei  $\alpha: SP1 \rightarrow SP2$  ein **Theoriemorphismus**.

Dann ist

view SM from SP1 to SP2 is  $\alpha$  endv

eine **Sicht** in Maude.

# Sicht (View)

**Beispiel:** Eine Sicht von TRIV nach STRING

```
view Char from TRIV to STRING is
    sort Elt to Char .
endv
```

wobei

```
fth TRIV is
    sort Elt .
endfth

fmod STRING is
    sort Char .
    sort String .
    .
    .
endfm
```

# Parameterübergabe

## Beispiel:

- Instantiierung von `STACK{X :: TRIV}` mit der Spezifikation `STRING`.  
Die Sorte `Elt` wird in `Char` umbenannt, d.h. der formale Parameter von `STACK` muss eine Sicht auf `STRING` besitzen.

```
fmod CHAR-STACK is
    including STACK{Char} .
endfm
```

- Instantiierung von `STACK{X :: TRIV}` mit der Spezifikation `NATURAL`.

```
view Natural from TRIV to NATURAL is
    sort Elt to Natural .
endv
fmod NAT-STACK is
    including STACK{Natural} .
endfm
```

# Parameterized Finite Maps

```
fmod MAP{X :: TRIV, Y :: TRIV} is
  sorts Entry{X,Y} Map{X,Y} .
  subsort Entry{X,Y} < Map{X,Y} .

  op _|->_ : X$Elt Y$Elt -> Entry{X,Y} [ctor] .
  op empty : -> Map{X,Y} [ctor] .
  op _,_ : Map{X,Y} Map{X,Y} -> Map{X,Y}
    [ctor assoc comm id: empty prec 121] .
  op undefined : -> [Y$Elt] [ctor] .
  .
  .
  var M : Map{X,Y} . var D : X$Elt . var R : Y$Elt .
  op _[_] : Map{X,Y} X$Elt -> [Y$Elt] .
  ceq (M, D |-> R)[D] = R if $hasMapping(M, D) = false .
  eq M[D] = undefined [owise] .
```

# Parameterized Finite Maps

MAP{X :: TRIV, Y :: TRIV} continued:

```
op $hasMapping : Map{X,Y} X$Elt -> Bool .
eq $hasMapping((M, D |-> R), D) = true .
eq $hasMapping(M, D) = false [owise] .
```

```
op insert : X$Elt Y$Elt Map{X,Y} -> Map{X,Y} .
eq insert(D, R, (M, D |-> R')) =
  if $hasMapping(M, D) then insert(D, R, M)
  else (M, D |-> R)
  fi .
eq insert(D, R, M) = (M, D |-> R) [owise] .
endfm
```

# Example: Implementing Stacks by Finite Maps (Arrays)

- **Simulating stacks over finite maps indexed by nat. numbers :**

```
fmod STACK-BY-MAP{X :: TRIV} is
    protecting MAP{Natural, X} .
    sorts Stack{X} NeStack{X} .
    subsort NeStack{X} < Stack{X} .
    op pair : Map{Natural, X} Natural -> Stack{X} [ctor] .
    op emptyStack : -> Stack{X} .
    op push : X$Elt Stack{X} -> NeStack{X} .
    op pop : NeStack{X} -> Stack{X} .
    op top : NeStack{X} -> X$Elt .

    var E : X$Elt . var I : Natural .
    var M : Map{Natural, X} .
    eq emptyStack = pair(empty, 0) .
    eq push(E, pair(M, I)) = pair(insert(I, E, M), s I) .
    eq top(pair(M, s I)) = M[I] .
    eq pop(pair(M, s I)) = pair(M, I) .

endfm
```

# Example: Implementing Stacks by Finite Maps (Arrays)

- Instantiating maps by character symbols:

```
fmod CHAR-STACK-BY-MAP is
    including STACK-BY-MAP{Char} .
endfm
```

```
red in CHAR-STACK-BY-MAP :
    top(push("a", push("b", emptyStack))) .
red in CHAR-STACK-BY-MAP :
    top(pop(push("a", push("b", emptyStack)))) .
```

# Example: Implementing Stacks by Finite Maps (Arrays)

## Theorem

$\text{STACK-BY-MAP}\{\text{X} :: \text{TRIV}\}$  is an  
FRI-implementation of  $\text{STACK}\{\text{X} :: \text{TRIV}\}$ .

## Proof:

Let  $M_0$  be any model of  $\text{STACK-BY-MAP}$  and restrict it to the signature of  $\text{STACK}$ :

$$M = M_0|_{\text{sig}(\text{STACK}\{\text{X} :: \text{TRIV}\})}$$

Define the following representation set and congruence:

$$\text{Rep}^M_{\text{Elt}} = M_{\text{Elt}}$$

$$\text{Rep}^M_{\text{Stack}\{\text{X}\}} =$$

$$\{\text{pair}^M(m, i) \mid m \in M_{\text{Map}}; i \leq |m|+1$$

and  $\forall k : \text{Natural}: k < i \Rightarrow m[k]^M$  is defined}

$$\text{pair}^M(m_1, i) \sim_M \text{pair}^M(m_2, j) \text{ iff}$$

$$i = j \wedge \forall k : \text{Natural}: k < i \Rightarrow m_1[k]^M = m_2[k]^M$$

# Example: Maude Representation

```
fmod STACK-REP{X :: TRIV} is
protecting STACK-BY-MAP{X} .
sort Rep{X} .           subsort Rep{X} < Stack{X} .
op welldefined : Stack{X} -> Bool .
op equ : Map{Natural, X} Map{Natural, X} Natural -> Bool .
op _~_ : Stack{X} Stack{X} -> Bool .

var E : X$Elt .           var I J : Natural .
var M M1 M2 : Map{Natural, X} . var S : Stack{X} .

cmb S : Rep{X} if welldefined(S) .
eq welldefined(pair(M, 0)) = true .
eq welldefined(pair(M, s I)) =
    welldefined(pair(M, I)) and $hasMapping(M, I) .
eq equ(M1, M2, 0) = true .
eq equ(M1, M2, s I) = equ(M1, M2, I) and (M1[I] == M2[I]) .
eq pair(M1,I) ~ pair(M2,J) = (I == J) and equ(M1, M2, I) .
endfm
```

# Proof of the Theorem

- The quotient  $M' = \text{Rep}_M / \sim_M$ , is a well-defined  $\text{sig}(\text{STACK}\{X :: \text{TRIV}\})$  algebra which is generated by `emptyStack` and `push`.
- The two STACK axioms hold in  $M'$ :

**1.  $M' \models \text{top}(\text{push}(x, \text{pair}(m, i))) = x :$**

Let  $v$  be any valuation with elements of  $M'$ . Then

$$M', v \models \text{top}(\text{push}(x, \text{pair}(m, i))) = \text{[Def. of push]}$$

$$\text{top}(\text{pair}(\text{insert}(i, x, m), s)) = \text{[Def. of top, insert]}$$

$$m[i] = \text{[Def. of } \underline{\underline{\_}} \text{ in MAP]}$$

$\times$

**2.  $M' \models \text{pop}(\text{push}(x, \text{pair}(m, i))) = \text{pair}(m, i) :$**

Let  $v$  be any valuation with elements of  $M'$ . Then

$$M', v \models \text{pop}(\text{push}(x, \text{pair}(m, i))) = \text{[Def. of push]}$$

$$\text{pop}(\text{pair}(\text{insert}(i, x, m), s)) = \text{[Def. of pop]}$$

$$\text{pair}(\text{insert}(i, x, m), s) =$$

$$[\text{for all } k < i : \text{insert}(i, x, m)[k] = m[k]]$$

$$\sim \text{pair}(m, i)$$

q.e.d

**Theorem:**

Let  $SP = (\Sigma, E)$  be a functional specification,  
 $SP'$  a specification with  $\Sigma \subset \text{Sig}(SP')$  and let  
 $\text{Ax}(\text{Rep}, \sim)$  be an axiomatisation of  
■ a characteristic predicate  $\text{Rep}$  and  
■ a  $\Sigma$ -congruence relation  $\sim$  over  $SP'$ .

Let

```
fmod SP" is
    protecting SP' .
    Ax (Rep, ~) .
endfm
```

Then  $SP'$  is a FRI-Implementation of  $SP$ , if

- $\text{Rep}/\sim$  is freely generated by the  $\Sigma$ -constructors of  $SP'$
- $SP''$  fulfils the axioms  $E$  of  $SP$  on  $\text{Rep}/\sim$ , i.e.  
$$SP'' \models G_{\text{Rep}}, \text{ for all } G \in E$$

whereby  $G_{Rep,\sim}$  is defined inductively by:

$$\begin{aligned} p(t_1, \dots, t_n)_{Rep,\sim} &\equiv p(t_1, \dots, t_n) \\ (u = v)_{Rep,\sim} &\equiv u \sim v \\ (G_1 \wedge G_2)_{Rep,\sim} &\equiv (G_1)_{Rep,\sim} \wedge (G_2)_{Rep,\sim} \\ (\neg G)_{Rep,\sim} &\equiv \neg(G)_{Rep,\sim} \\ (\forall x : s. G)_{Rep,\sim} &\equiv \forall x : s. Rep_s(x) \implies G_{Rep,\sim} \\ (\exists x : s. G)_{Rep,\sim} &\equiv \exists x : s. Rep_s(x) \wedge G_{Rep,\sim} \end{aligned}$$

# Example: Implementing Stacks by Finite Maps (Arrays)

We define axiomatically the characteristic predicate  $\text{Rep}$  of the representation set and the congruence  $\sim$  over STACK-by-MAP:

```

RepElt : X$Elt -> Bool .
RepStack{X} : Stack{X} -> Bool .
_~Elt_ : X$Elt X$Elt -> Bool .
_~Stack{X}_ : Stack{X} Stack{X} -> Bool .
vars E, E' : X$Elt . var St : Stack{X} .

vars M, M' : Map{Nat, X} . vars I, J : Natural .
eq RepElt(E) = true . ***RepElt holds for all E ∈ X$Elt
eq RepStack{X}(pair(M, I)) = true
    if I <= |M| + 1 and
        forall(k : Natural. k < I => M[k] >= 0) .
eq E ~Elt E' = (E == E') .
eq pair(M, I) ~Stack{X} pair(M', J) =
    I == J and
    forall(k : Natural . k < I => M[k] == M'[k]) .

```

Then we can prove the STACK axioms as follows:

$$\mathbf{1. \forall E: X\$Elt . \forall St : Stack\{X\} . top(push(E, St))) = E :}$$

Relativization w.r.t. Rep and  $\sim$  yields

$$\forall E: X\$Elt . \forall St : Stack\{X\} .$$

$$\text{Rep}_{Elt}(E) \text{ and } \text{Rep}_{Stack\{X\}}(St) \Rightarrow \text{top}(\text{push}(E, St)) \sim_{Elt} E .$$

By the definitions of Rep and  $\sim$  we get:

$$\forall E: X\$Elt . \forall M : \text{Map}\{\text{Nat}, X\} . \forall I : \text{Natural} . \\ (I \leq |M|+1) \Rightarrow \text{top}(\text{push}(E, \text{pair}(M, I))) = E .$$

We prove this by the axioms of STACK-by-MAP:

$$\text{top}(\text{push}(E, \text{pair}(M, I))) = \quad \quad \quad [\text{Def. of push}]$$

$$\text{top}(\text{pair}(\text{insert}(I, E, M), s I)) = [\text{Def. of top, insert}]$$

$$M[I] = \quad \quad \quad [\text{Def. of } \underline{[ ]} \text{ in MAP}]$$

$$E$$

**2.**  $\forall E: X\$Elt . \forall St : Stack\{X\} . pop(push(E, St)) = St$ :

Relativization w.r.t. Rep and  $\sim$  yields

$\forall E: X\$Elt . \forall St : Stack\{X\} .$

$Rep_{Elt}(E)$  and  $Rep_{Stack\{X\}}(St) \Rightarrow pop(push(E, St)) \sim_{Stack\{X\}} St$

By the definition of Rep we get:

$\forall E: X\$Elt . \forall M : Map\{Nat, X\} . \forall I : Natural .$

$(I \leq |M|+1) \Rightarrow$

$pop(push(E, pair(M, I))) \sim_{Stack\{X\}} pair(M, I)) .$

We prove this by the axioms of STACK-by-MAP:

$pop(push(E, pair(M, I))) =$  [Def. of push]

$pop(pair(insert(I, E, M), s I)) =$  [Def. of pop]

$pair(insert(I, E, M), I) \sim_{Stack\{X\}}$

[for all  $k < I : insert(I, E, M)[k] = M[k]$  ]

$pair(M, I)$

q.e.d.

# Summary (I)

- If a  $\Sigma_1$ -algebra  $B$  simulates a  $\Sigma$ -algebra  $A$  as follows (called **change of data structure**):

Every carrier set of  $A$  is represented by a subset  $Rep$  of a carrier set of  $B$ , and every function symbol of  $\Sigma$  is represented by a function symbol of  $\Sigma_1$ . Several elements of  $Rep$  can represent the same element of  $A$ , thus inducing an equivalence relation  $\sim$

- A specification  $SP_1$  **FRI-implements** a specification  $SP$  w.r.t. a signature morphism  $\rho$ , if every model of  $SP_1$  simulates a model of  $SP$  w.r.t. suitable  $Rep$  and  $\sim$ .
- Implementation relationships are proved on the level of specifications. The characteristic predicate of  $Rep$  is used for this purpose. A specification  $SP'$  **FRI-implements** a specification  $SP$ , if  $Rep$  and  $\sim$  can be defined over  $SP'$  in such a way that  $E_{Rep, \sim}$  holds in  $SP'$  for any axiom  $E$  of  $SP$ .

## Summary (II)

- Maude unterstützt Strukturierung von Spezifikationen durch Umbenennung und Parametrisierung.
- Eine parametrisierte Spezifikation hat Theorien als formale Parameter.
- Ein aktueller Parameter SPA muss (modulo Umbenennung) die Signatur des formalen Parameters T enthalten und alle Eigenschaften von T erfüllen, d.h. es muss einen Theoriemorphismus von T nach SPA geben.