#### Formale Spezifikation und Verifikation

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#### **Preliminaries**

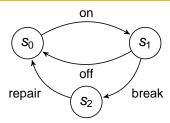


Figure: A reactive system with breakdowns and repairs

#### **Formal Definition**

A labelled transition system is a tuple  $LTS = (S, A, \rightarrow, s_0)$  where

S is a set of states

A is a finite alphabet of actions

 $\rightarrow$  is a ternary relation  $\rightarrow$   $\in$   $S \times A \times S$ . We often write  $s \xrightarrow{a} s'$  instead of  $(s, a, s') \in \rightarrow$ , for  $s, s' \in S$  and  $a \in A$ 

 $s_0 \in S$  is the initial state of the system

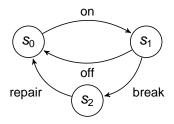


Figure: A reactive system with breakdowns and repairs

For the model in the figure,  $LTS = (S, A, \rightarrow, s_0)$ , with

$$\begin{split} S &= \big\{s_0, s_1, s_2\big\}, \\ A &= \big\{\text{on, off, break, repair}\big\}, \\ \rightarrow &= \big\{(s_0, \text{on, } s_1), (s_1, \text{off, } s_0), (s_1, \text{break, } s_2), (s_2, \text{repair, } s_0)\big\} \;. \end{split}$$

- Sometimes, the initial state is unimportant (or unknown), hence the LTS is characterized only by the triple  $(S, A, \rightarrow)$ .
- Sometimes, the tuple may be defined as

$$LTS = (S, A, \rightarrow, I)$$
,

where  $I \subseteq S$  is a set of initial states.

- States are possible configurations of the system.
- The transition relation may be also expressed as a set of relations, one element for each action, i.e.

$$LTS = \left(S, A, \left\{ \stackrel{a}{\rightarrow} \mid a \in A \right\} \right)$$

- Transitions with distinct actions are possible between two states, e.g.,  $s_1 \xrightarrow{\text{off}} s_0$  and  $s_1 \xrightarrow{\text{standby}} s_0$ .
- Self-loops are allowed, e.g.,  $s_1 \xrightarrow{\text{nop}} s_1$ .

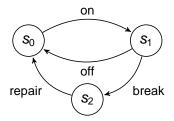


Figure: A reactive system with breakdowns and repairs

Example: breakdown and repair with *memory*...

#### Levels of Abstraction

- Activities are interpreted as being uninterruptible computations that move the system into another configuration.
- This is a very general notion that gives freedom as to which concrete tasks are to be associated with actions in the model.
- For instance, a detailed model of a communication protocol may have {send, receive, ack, ...}.
- A coarse-grained representation may abstract those actions with a single (uninterruptible) action called transmit.
- The former model may be used, for instance, to reason about the possibility of not receiving an acknowledgement after some data is sent.
- The latter may be used if the focus of the model is other than the actual communication mechanism.

#### **Practical Considerations**

#### How can we describe very large labelled transition systems?

#### As XML?

 $$$ \text{$t><ar><st>q0</st><lab>a</lab><st>q1</st></ar>...</lts>.$ 

#### As a table?

Rows and columns are labelled by states, entries are either empty or marked with a set of actions.

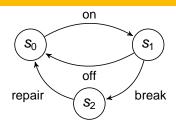
#### As a listing of triples?

$$\rightarrow = \big\{ (s_0, a, s_1), (s_0, a, s_2), (s_1, b, s_3), (s_1, c, s_4), (s_2, d, s_3), (s_2, d, s_4) \big\}$$

#### As a more compact listing of triples?

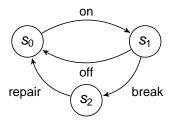
$$\rightarrow = \{(s_0, a, \{s_1, s_2\}), (s_1, b, s_3), (s_1, c, s_4), (s_2, d, \{s_3, s_4\})\}.$$

#### Some Useful Definitions



Given a labelled transition system  $LTS = (S, A, \rightarrow)$ , let:

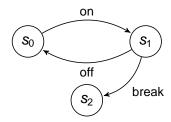
$$\begin{aligned} \operatorname{Post}(s,a) &= \{s' \in S : s \xrightarrow{a} s'\}, & \text{e.g., } \operatorname{Post}(s_1, \operatorname{off}) &= \{s_0\}, \\ \operatorname{Post}(s) &= \bigcup_{a \in A} \operatorname{Post}(s,a), & \text{e.g., } \operatorname{Post}(s_1) &= \{s_0, s_2\}, \\ \operatorname{Pre}(s,a) &= \{s' \in S : s' \xrightarrow{a} s\}, & \text{e.g., } \operatorname{Pre}(s_1, \operatorname{on}) &= \{s_0\}, \\ \operatorname{Pre}(s) &= \bigcup_{a \in A} \operatorname{Pre}(s,a), & \text{e.g., } \operatorname{Post}(s_0) &= \{s_1, s_2\}. \end{aligned}$$



A nondeterministic and nonterminating LTS

- $LTS = (S, A, \rightarrow)$  is called deterministic iff  $|\mathsf{Post}(s)| < 2, \qquad \text{for all } s \in S.$
- Otherwise, LTS is called nondeterministic.
- $LTS = (S, A, \rightarrow)$  is called terminating iff

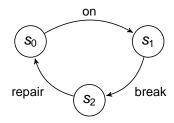
$$\exists s \in S : \mathsf{Post}(s) = \emptyset$$



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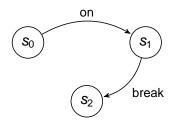
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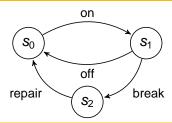


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#### **Execution Paths**



A finite execution path  $\pi=s_0\,a_1\,s_1\,a_2\,\cdots\,a_n\,s_n$  denotes a sequence of transitions  $s_i \xrightarrow{a_{i+1}} s_{i+1}$ , with  $s_i \in S, 0 \leq i \leq n$  and  $a_i \in A, 0 < i \leq n$ . An infinite execution path  $\pi_\infty=s_0\,a_1\,s_1\,a_2\,\cdots$  denotes an infinite sequence of transitions such that  $s_i \xrightarrow{a_{i+1}} s_{i+1}$  for all  $i \geq 0$ .

#### **Examples**

 $\pi'=s_0$  on  $s_1$  off  $s_0$  on  $s_1$ break  $s_2$  repair  $s_0$   $\pi_\infty=s_1$  off  $s_0$  on  $s_1$  off  $s_1$  on  $s_1$  of  $s_1$ 

#### **Labelled Transitions Systems of Concurrency**

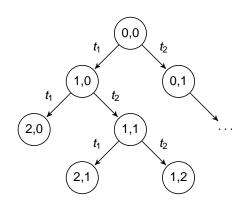
$$x, y \leftarrow 0$$
 thread 1 do while  $x < 2$  and  $y < 2$  do

Suppose that **while** blocks are atomic. What are the final values of *x* and *y* when the program terminates?

$$x \leftarrow x + 1$$
 end while end thread

thread 2 do while x < 2 and y < 2 do

$$y \leftarrow y + 1$$
 end while end thread



# **Structured Operational Semantics**

# Structured Operational Semantics<sup>1</sup>

A syntax-driven labelled transition system. In our case,

- Define the set of well-formed phrases of a language (typically using Backus-Naur form)
- Describe inference rules in the form

$$\left(\frac{\text{premise}}{\text{conclusion}}\right) \quad \frac{E_1 \xrightarrow{a_1} E_1' \quad E_2 \xrightarrow{a_2} E_2' \quad \cdots \quad E_m \xrightarrow{a_m} E_m'}{op(E_1, E_2, \ldots, E_m) \xrightarrow{a} op(E_1', E_2', \ldots, E_m')}, \text{ where }$$

 $E_1, \ldots, E_m$  are syntactically valid expressions  $a_1, \ldots, a_m, a$  are transition labels op is an operator of the language with arity m

An axiom is a rule in the form

$$E \xrightarrow{a} E'$$

<sup>&</sup>lt;sup>1</sup>G. Plotkin. A Structural Approach to Operational Semantics, *J. Log. Algebr. Program.*, 2004, (**60–61**), 17–139.

### **Example: Regular Expressions**

#### Syntax of Regular Expressions

$$E ::= 1 \mid a \mid E + E \mid E \cdot E \mid E^*, \quad a \in A \text{ and } \mu \in A \cup \{\varepsilon\}$$

- Usual order for the binding strength: \*, ·, + For instance  $a \cdot b^* + c = (a \cdot (b^*)) + c$
- Is  $a \cdot b$  allowed (i.e., is it well formed)?
- Is  $a \cdot b \cdot c$  well formed?
- Is  $a \cdot b \cdot \varepsilon$  well formed?
- For convenience we may use EF in lieu of  $E \cdot F$ .
- Sometimes it is useful to think of well-formed expressions in terms of parse trees...

### **Example: Regular Expressions**

#### Syntax of Regular Expressions

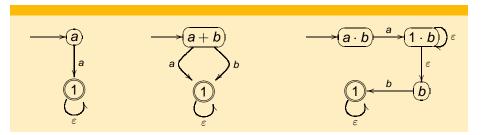
$$E ::= 1 \mid a \mid E + E \mid E \cdot E \mid E^*, \quad a \in A \text{ and } \mu \in A \cup \{\varepsilon\}$$

#### **Operational Semantics of Regular Expressions**

## The Automaton Associated with a Regular Expression

The SOS inference rules implicitly define a particular automaton for each regular expression *e*:

- the initial state is e (we shall often omit to mark it)
- the set of labels is  $A \cup \{\varepsilon\}$
- the set of states consists of all r.e. that can be reached starting from e via a sequence of transitions
- the transition relation is the one induced from the SOS inference rules
- the only final state is 1 (we shall often omit to mark it)



## **Sequences of Transitions**

$$e \stackrel{s}{\longrightarrow} e'$$

Let  $s = \mu_1 \mu_2 \cdots \mu_n$  be the string obtained as the concatenation of  $\mu_1, \mu_2, \dots, \mu_n \in A \cup \{\varepsilon\}$  (remind that  $\varepsilon$  behaves as the empty string).

We write  $e \xrightarrow{s} e'$  if there exist  $e_1, e_2, \dots, e_{n-1}$  such that:

$$e \xrightarrow{\mu_1} e_1 \xrightarrow{\mu_2} e_2 \cdots e_{n-1} \xrightarrow{\mu_n} e'$$

# Example: $a \cdot b \cdot c \stackrel{abc}{\longrightarrow} 1$

We have  $abc = a\varepsilon\varepsilon\varepsilon b\varepsilon c$  and:

$$a \cdot b \cdot c \xrightarrow{a} 1 \cdot b \cdot c \xrightarrow{\varepsilon} 1 \cdot b \cdot c \xrightarrow{\varepsilon} 1 \cdot b \cdot c \xrightarrow{\varepsilon} b \cdot c \xrightarrow{b} 1 \cdot c \xrightarrow{\varepsilon} c \xrightarrow{c} 1$$

## A Few Examples of Regular Expressions

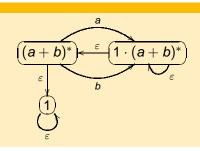
$$(a+b)^* \stackrel{a}{\longrightarrow} 1 \cdot (a+b)^*$$

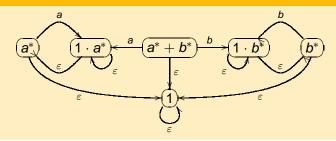
$$\frac{\frac{a \longrightarrow 1}{a \longrightarrow 1} (Atom)}{\frac{a+b \stackrel{a}{\longrightarrow} 1}{(a+b)^* \stackrel{a}{\longrightarrow} 1 \cdot (a+b)^*} (Star_2)}$$

$$1 \cdot (a+b)^* \stackrel{\varepsilon}{\longrightarrow} (a+b)^*$$

$$\frac{\frac{1}{1 \xrightarrow{\varepsilon} 1} (Tic)}{1 \cdot (a+b)^* \xrightarrow{\varepsilon} (a+b)^*} (Seq_2)$$

## LTS Fragments for $(a + b)^*$ and $a^* + b^*$





## Another Example on Regular Expressions

$$(a^*+b^*)^* \stackrel{b}{\longrightarrow} 1 \cdot b^* \cdot (a^*+b^*)^*$$

$$\frac{\frac{b \longrightarrow 1}{b \longrightarrow 1} (Atom)}{\frac{b^* \longrightarrow 1 \cdot b^*}{a^* + b^* \longrightarrow 1 \cdot b^*} (Star_2)}$$

$$\frac{1}{(a^* + b^*)^* \longrightarrow 1 \cdot b^* \cdot (a^* + b^*)^*} (Star_2)$$

# LTS Fragment for $(a^* + b^*)^*$

