

# Formale Spezifikation und Verifikation

Mirco Tribastone

Institut für Informatik  
Ludwig-Maximilians-Universität München  
`tribastone@pst.ifi.lmu.de`

**Process Algebras**

# Calculus of Communicating Systems

## Sequential Fragment

- **0** process (the only atomic process)
- Action prefixing ( $a.P$ )
- Names and recursive definitions ( $\triangleq$ )
- Nondeterministic choice ( $+$ )

## Parallelism and Renaming

- Parallel composition (operator  $|$ ) for synchronous communication between two components (*handshake synchronization*)
- Restriction ( $P \setminus L$ )
- Relabelling ( $P[f]$ )

# Channels, Actions, Process Names)

Let

- $\mathcal{A}$  be a set of **channel names** (sometimes, simply called **names**).  
For instance, *tea* and *coffee* are channel names.
- $\mathcal{L} = \mathcal{A} \cup \overline{\mathcal{A}}$  be a set of **labels** where
  - $\overline{\mathcal{A}} = \{\overline{a} \mid a \in \mathcal{A}\}$  (elements of  $\overline{\mathcal{A}}$  are called **co-names**)
  - by convention  $\overline{\overline{a}} = a$
  - $\tau \notin \mathcal{A}$
- $Act = \mathcal{L} \cup \{\tau\}$  is the set of **actions** where
  - $\tau$  is the **internal** or **silent** action(e.g.  $\tau$ , *tea*,  $\overline{coffee}$  are actions)
- $\mathcal{K}$  is a set of **process names** (or constants) (usually with upper-case initials).

# Definition of CCS (expressions)

$P :=$	$K$		Process constants ( $K \in \mathcal{K}$ , $K \triangleq P$ )
	$\alpha.P$		Prefix ( $\alpha \in Act$ )
	$\sum_{i \in I} P_i$		Summation ( $I$ is an arbitrary index set)
	$P_1   P_2$		Parallel composition
	$P \setminus L$		Restriction ( $L \subseteq \mathcal{A}$ )
	$P[f]$		Relabelling ( $f : Act \rightarrow Act$ ) such that
			■ $f(\tau) = \tau$
			■ $f(\bar{a}) = \overline{f(a)}$

The set of all terms generated by the abstract syntax is the set of **CCS process expressions** (and is denoted by  $\mathcal{P}$ ).

## Notation

$$P_1 + P_2 = \sum_{i \in \{1,2\}} P_i$$

$$Nil = \mathbf{0} = \sum_{i \in \emptyset} P_i$$

## Precedence

- 1 Restriction and relabelling (tightest binding)
- 2 Action prefixing
- 3 Parallel composition
- 4 Summation

## Example

$R + a.P \mid b.Q \setminus L$  means  $R + ((a.P) \mid (b.(Q \setminus L)))$  .

# Defining Equations

## CCS program

A collection of **defining equations** of the form

$$K \triangleq P$$

where  $K \in \mathcal{K}$  is a process constant and  $P \in \mathcal{P}$  is a CCS process expression.

- Only one defining equation per process constant.
- Recursion is allowed: e.g.  $A \triangleq \bar{a}.A \mid A$ .

Given a collection of CCS defining equations, we define the following LTS  
( $Proc, Act, \{\xrightarrow{a} \mid a \in Act\}$ ):

- $Proc = \mathcal{P}$  (the set of all CCS process expressions)
- $Act = \mathcal{L} \cup \{\tau\}$  (the set of all CCS actions including  $\tau$ )
- The transition relations are given by **SOS rules** of the form:

$$\text{RULE NAME} \frac{\text{premises}}{\text{conclusion}} \text{ side conditions}$$



# SOS Rules for CCS ( $\alpha \in Act, a \in \mathcal{L}$ )

$$\text{ACT} \frac{}{\alpha.P \xrightarrow{\alpha} P} \qquad \text{SUM}_j \frac{P_j \xrightarrow{\alpha} P'_j}{\sum_{i \in I} P_i \xrightarrow{\alpha} P'_i} \quad j \in I$$

$$\text{COM1} \frac{P \xrightarrow{\alpha} P'}{P|Q \xrightarrow{\alpha} P'|Q} \qquad \text{COM2} \frac{Q \xrightarrow{\alpha} Q'}{P|Q \xrightarrow{\alpha} P|Q'}$$

$$\text{COM3} \frac{P \xrightarrow{a} P' \quad Q \xrightarrow{\bar{a}} Q'}{P|Q \xrightarrow{\tau} P'|Q'}$$

$$\text{RES} \frac{P \xrightarrow{\alpha} P'}{P \setminus L \xrightarrow{\alpha} P' \setminus L} \quad \alpha, \bar{\alpha} \notin L \qquad \text{REL} \frac{P \xrightarrow{\alpha} P'}{P[f] \xrightarrow{f(\alpha)} P'[f]}$$

$$\text{CON} \frac{P \xrightarrow{\alpha} P'}{K \xrightarrow{\alpha} P'} \quad K \triangleq P$$

# Deriving Transitions in CCS

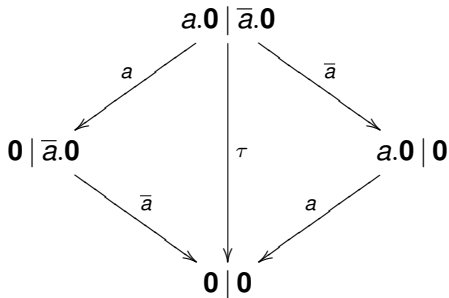
Let  $A \triangleq a.A$ . Then

$$((A \mid \bar{a}.0) \mid b.0)[c/a] \xrightarrow{c} ((A \mid \bar{a}.0) \mid b.0)[c/a].$$

Why?

$$\text{REL} \frac{\text{COM1} \frac{\text{COM1} \frac{\text{CON} \frac{\text{ACT} \frac{}{a.A \xrightarrow{a} A}}{A \xrightarrow{a} A}}{A \mid \bar{a}.0 \xrightarrow{a} A \mid \bar{a}.0}}{(A \mid \bar{a}.0) \mid b.0 \xrightarrow{a} (A \mid \bar{a}.0) \mid b.0}}{((A \mid \bar{a}.0) \mid b.0)[c/a] \xrightarrow{c} ((A \mid \bar{a}.0) \mid b.0)[c/a]}}{A \triangleq a.A}}$$

# LTS of the Process $a.0 \mid \bar{a}.0$



$$Proc = \{a.0 \mid \bar{a}.0, 0 \mid \bar{a}.0, a.0 \mid 0, 0 \mid 0\},$$

$$Act = \{a, \bar{a}, \tau\},$$

$$\xrightarrow{a} = \{(a.0 \mid \bar{a}.0, 0 \mid \bar{a}.0), (a.0 \mid 0, 0 \mid 0)\},$$

$$\xrightarrow{\bar{a}} = \{(a.0 \mid \bar{a}.0, a.0 \mid 0), (0 \mid \bar{a}.0, 0 \mid 0)\},$$

$$\xrightarrow{\tau} = \{(a.0 \mid \bar{a}.0, 0 \mid 0)\}.$$

# Using Restriction

LTS of  $(a.0 \mid \bar{a}.0) \setminus \{a\}$

$$(a.0 \mid \bar{a}.0) \setminus \{a\} \xrightarrow{\tau} (0 \mid 0) \setminus \{a\}$$

## Another Example

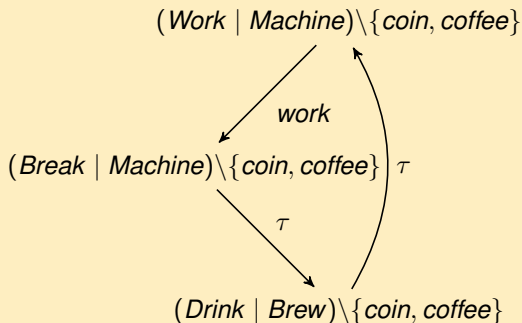
$Work \triangleq work.Break$

$Break \triangleq \overline{coin}.Drink$

$Drink \triangleq coffee.Work$

$Machine \triangleq coin.Brew$

$Brew \triangleq \overline{coffee}.Machine$



# Equivalence Relations

## Definition

Let  $S$  be a set. A binary relation  $R \subseteq S \times S$  is called an **equivalence relation** if the following hold:

- $R$  is reflexive, i.e., it holds that  $\langle s, s \rangle \in R$  for all  $s \in S$
- $R$  is symmetric, i.e., if  $\langle s_1, s_2 \rangle \in R$  then  $\langle s_2, s_1 \rangle \in R$  for all  $s_1, s_2 \in S$
- $R$  is transitive, i.e., if  $\langle s_1, s_2 \rangle \in R$  and  $\langle s_2, s_3 \rangle \in R$  then  $\langle s_1, s_3 \rangle \in R$  for all  $s_1, s_2, s_3 \in S$

A binary relation that is reflexive and transitive is called a **preorder**.

## Convention

It is customary to write  $s_1 R s_2$  to indicate  $\langle s_1, s_2 \rangle \in R$

# Behavioural Equivalence

## Implementation

$$CM \triangleq \text{coin}.\overline{\text{coffee}}.CM$$

$$PR \triangleq \overline{\text{hello}}.\overline{\text{coin}}.\text{coffee}.\overline{\text{drink}}.PR$$

$$UNI \triangleq (CM \mid PR) \setminus \{\text{coin}, \text{coffee}\}$$

## Specification

$$Spec \triangleq \overline{\text{hello}}.\tau.\tau.\overline{\text{drink}}.Spec$$

- We are given an abstract system specification *Spec*
- We devise an implementation *Imp* by assembling many interacting components

Are the processes *Imp* and *Spec* “behaviourally equivalent”?

- Fix a “good” notion of equivalence
- Prove that the two processes equivalent or find a counterexample and re-design *Imp*

# Which Equivalence (1 / 2)?

What could be a reasonable equivalence relation?

- 1 Two processes are equivalent if their parse trees are identical
  - e.g.,  $P + Q + R = (P + Q) + R!$
  - ... but this fails to capture the intuition that  $P + Q = Q + P$
- 2 Two processes are equivalent up to renaming of the defining constants
  - e.g.,  $X \triangleq a.X$  is equivalent to  $Y \triangleq a.Y$
- 3 Two processes are equivalent if they exhibit the same behaviour, i.e., if they give rise to the same LTS
  - ... but this yields too many distinctions:

$$X \triangleq a.X$$

$$Y \triangleq a.a.Y$$

$$Z \triangleq a.a.a.Z$$

have different LTSs but both processes can (only) execute infinitely many  $a$ -actions, and should be considered equivalent.

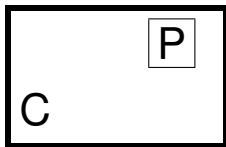
## Which Equivalence (2 / 2)?

### What should a reasonable behavioural equivalence satisfy?

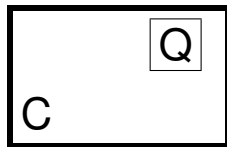
- Abstracts from states (consider only the actions)
- Abstracts from internal behaviour ( $\tau$  steps are not visible)
- Identifies processes whose LTSs are isomorphic
- Considers two processes equivalent only if both can execute the same actions sequences
- Allows to replace a subprocess by an equivalent counterpart without changing the overall semantics of the system
- Be deadlock sensitive, i.e., if one has a deadlock after a given trace  $s$ , then then the other process has a deadlock after the same trace (and vice versa).



# Congruence



$C(P)$

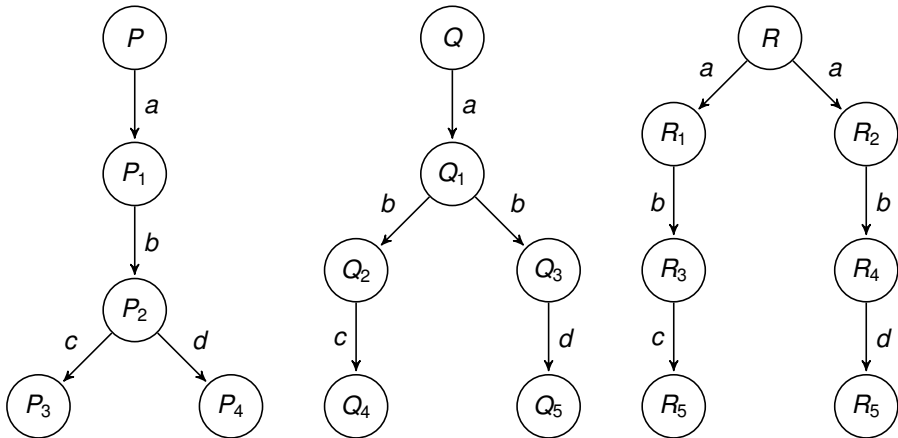


$C(Q)$

## Congruence Property

$P \equiv Q$  implies that  $C(P) \equiv C(Q)$

# Behavioural Equivalences



Problem: Are these three systems equivalent?

# Trace Equivalence

Let  $(Q, A, \rightarrow)$  be an LTS, with  $q \in Q$ .

## Traces

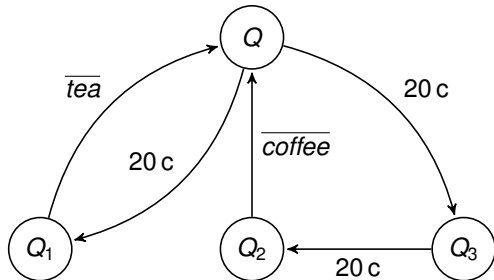
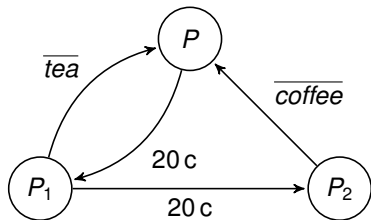
Let  $s = a_1 a_2 \cdots a_k \in A^*$ , for any  $k \geq 1$ , be a **trace** of  $q$  if there exists a sequence of transitions  $q \xrightarrow{a_1} q_1 \xrightarrow{a_2} \cdots \xrightarrow{a_k} q_k$ , with  $q_i \in Q$  for all  $1 \leq i \leq k$ .

Let  $T(q)$  be the set of all traces of state  $q$ .

## Trace Equivalence

Two states  $p$  and  $q$  are *trace equivalent*, written  $p =_T q$ , if  $T(p) = T(q)$ .

# Two Trace-Equivalent Systems



# Trace Equivalence and Process Algebra

Consider two trace-equivalent versions of a vending machine:

$$VM_1 \triangleq coin.(\overline{coffee}.VM_1 + \overline{tea}.VM_1) ,$$

$$VM_2 \triangleq coin.\overline{coffee}.VM_2 + coin.\overline{tea}.VM_2 .$$

Allow each machine to interact with a user who wishes to have only coffee:

$$User \triangleq \overline{coin}.coffee.User$$

Consider now the two systems

$$\begin{aligned} & (User \mid VM_1) \setminus \{coin, coffee, tea\} , \\ & (User \mid VM_2) \setminus \{coin, coffee, tea\} . \end{aligned}$$

## Question

Are  $(User \mid VM_1) \setminus \{coin, coffee, tea\}$  and  $(User \mid VM_2) \setminus \{coin, coffee, tea\}$  trace equivalent?

$$VM_1 \triangleq coin.(\overline{coffee}.VM_1 + \overline{tea}.VM_1)$$

$$VM_2 \triangleq coin.\overline{coffee}.VM_2 + coin.\overline{tea}.VM_2$$

$$User \triangleq \overline{coin}.coffee.User$$

$VM_1$  serves coffee:

$$\begin{aligned} & (User \mid VM_1) \setminus \{coin, coffee, tea\} \xrightarrow{\tau} \\ & (coffee.User \mid (\overline{coffee}.VM_1 + \overline{tea}.VM_1)) \setminus \{coin, coffee, tea\} \xrightarrow{\tau} \\ & (User \mid VM_1) \setminus \{coin, coffee, tea\} . \end{aligned}$$

$VM_2$  may steal the coin:

$$\begin{aligned} & (User \mid VM_2) \setminus \{coin, coffee, tea\} \xrightarrow{\tau} \\ & (coffee.User \mid (\overline{tea}.VM_2)) \setminus \{coin, coffee, tea\} \not\rightarrow . \end{aligned}$$