

Übung 4 – Konfluenz

Formale Techniken in der Software-Entwicklung
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Blatt 3, Aufgabe 2

Bestimmen Sie ein r_1 und ein r_2 , so dass $R = \{f(g(x)) \rightarrow r_1, g(h(x)) \rightarrow r_2\}$ konfluent ist.

1. Schritt: Umbenennung

$$R = \{f(g(x)) \rightarrow r_1, g(h(y)) \rightarrow r_2\}$$

$$l_1 = f(g(x))$$

$$l_2 = g(h(y))$$



Die einzige in Frage kommende Position ist:

$$l_1|_1 = g(x)$$

Suche mgu für $l_1|_1 = g(x) \stackrel{?}{=} g(h(y))$.

Lösung: $\theta = \{x \mapsto h(y)\}$

Kritisches Paar:

$$\langle \theta r_1, (\theta l_1)[\theta r_2]_1 \rangle = \langle \theta r_1, f(g(h(y)))[\theta r_2]_1 \rangle$$



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$$\langle \theta r_1, (\theta l_1)[\theta r_2]_1 \rangle = \langle \theta r_1, f(g(h(y)))[\theta r_2]_1 \rangle$$

Bestimme also $r_1 = f(x), r_2 = h(y)$

Dann

$$\begin{aligned} \langle \theta r_1, f(g(h(y)))[\theta r_2]_1 \rangle &= \\ \langle f(x)[x \mapsto h(y)], f(g(h(y)))[h(y)]_1 \rangle &= \\ \langle f(h(y)), f(h(y)) \rangle \end{aligned}$$



Definition: Let E be a set of Σ -identities.

1. The identity $s \approx t$ is a **semantic consequence** of E ($E \models s \approx t$) iff it holds in all models of E .
2. The relation $\approx_E := \{(s, t) \in T(\Sigma, V) \times T(\Sigma, V) \mid E \models s \approx t\}$ is called the **equational theory** induced by E .

But is $s \approx_E t$ decidable?



Theorem: If E is finite and \rightarrow_E is convergent (confluent and terminating), then \approx_E is decidable. (See [1])

- So we have to check whether \rightarrow_E (and therefore our module) is terminating and confluent.



- Proving termination
 - Next lecture/lab course (at least a sketch)



Proving confluence:

- Confluence is decidable for a finite and terminating term rewriting system due to **the Critical Pair Theorem:**

A TRS is locally confluent iff all its critical pairs are joinable.



Unification is the process of solving the satisfiability problem:

given E , s and t , find a substitution σ such that $\sigma s \approx_E \sigma t$.

σ is called **unifier** of s and t or a solution of the equation $s \stackrel{?}{=} t$.



$f(x) =? f(a)$ has exactly one unifier $\{x \mapsto a\}$.

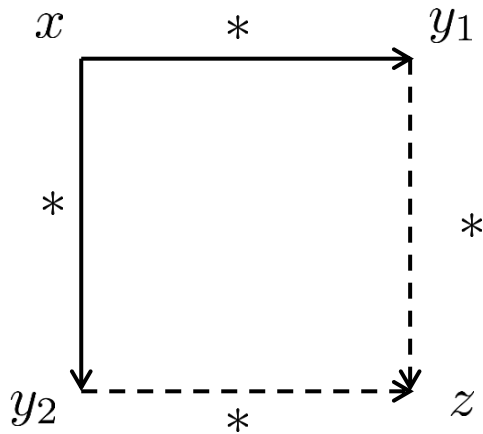
$x =? f(y)$ has many unifiers:
 $\{x \mapsto f(y)\}, \{x \mapsto f(a), y \mapsto a\}, \dots$.

$f(x) =? g(y)$ has no unifier.

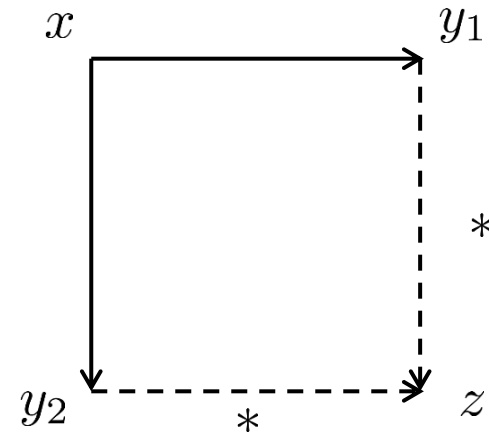
$x =? f(x)$ has no unifier.



A substitution σ is called the **most general unifier (mgu)** of E if for every other unifier σ' of E there is a substitution δ with $\sigma' = \delta\sigma$.

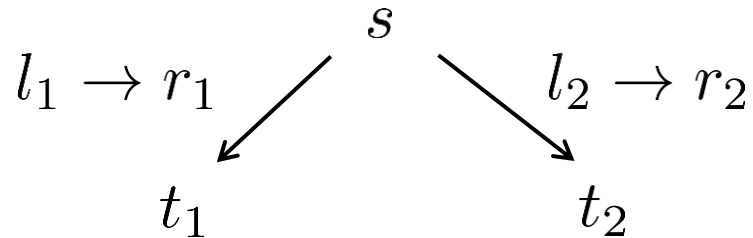


confluence

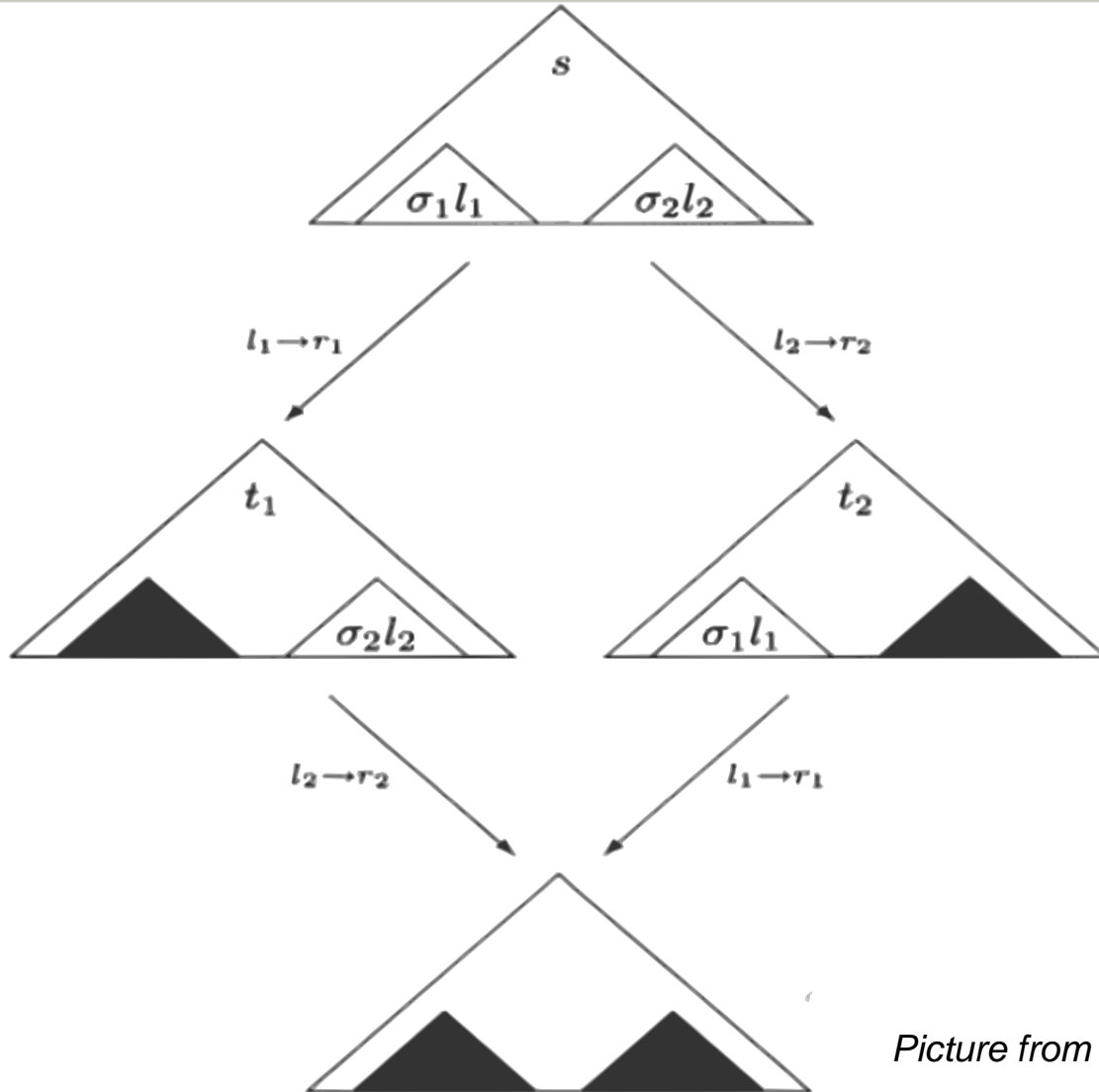


local confluence

Newman's Lemma: a terminating relation is confluent if it is locally confluent.

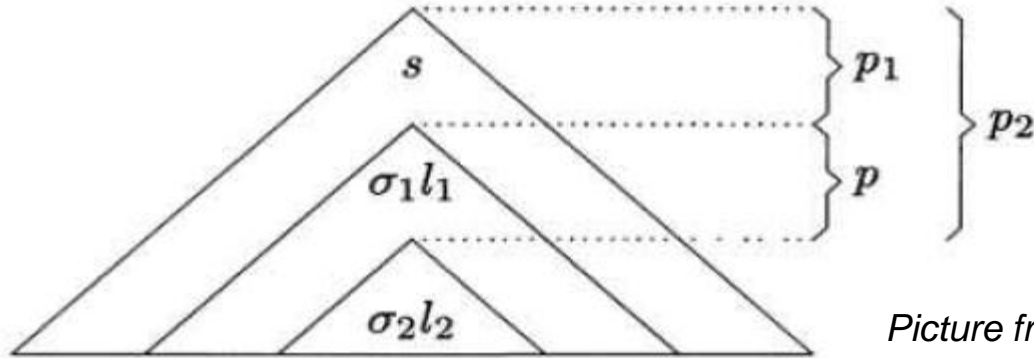


There are rules $l_i \rightarrow r_i \in R$, positions $p_i \in Pos(s)$ and substitutions σ_i such that $s|_{p_i} = \sigma_i l_i$ and $t_i = s[\sigma_i r_i]_{p_i}, i = 1, 2$.



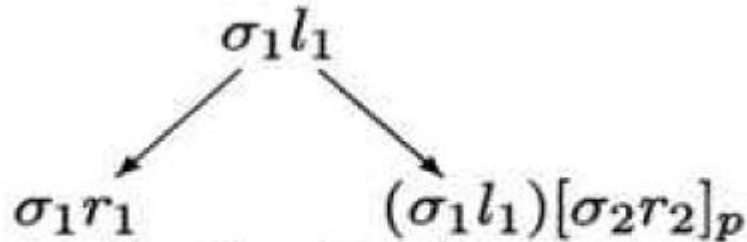
No overlap

Picture from [1]

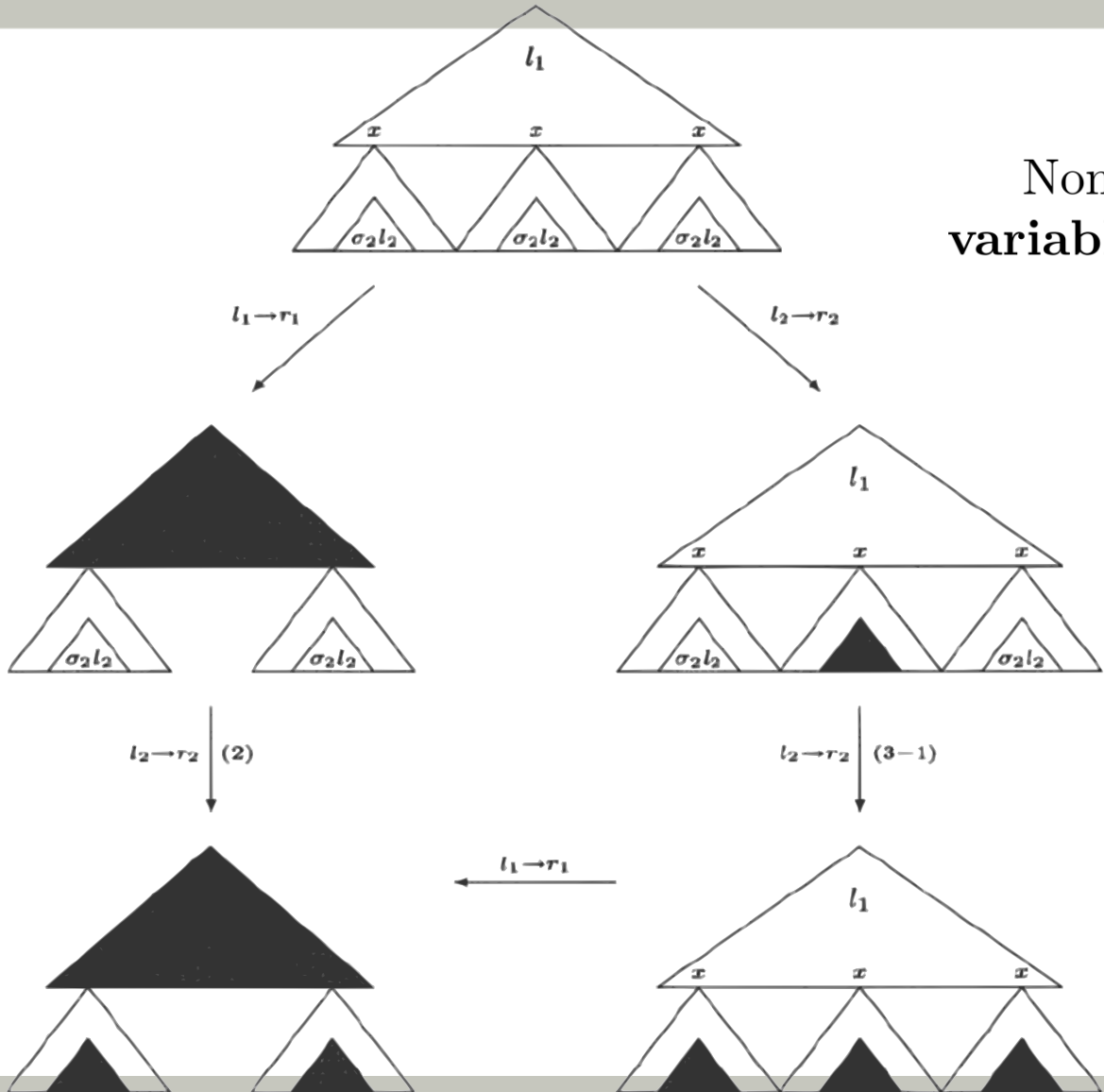


Picture from [1]

Overlap: p_1 is a prefix of p_2 , i.e. $p_2 = p_1p$ for some p which could be empty .



This must hold for confluence



Non-critical overlap: $\sigma_2 l_2$ is at a variable position of l_1 .

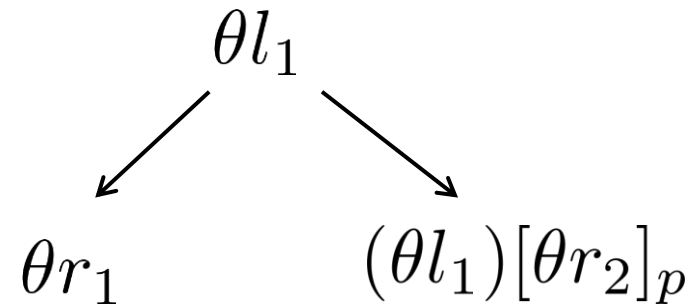
Picture from [1]



Definition: Let $l_i \rightarrow r_i, i = 1, 2$, be two rules whose variables have been renamed such that $Var(l_1, r_1) \cap Var(l_2, r_2) = \emptyset$.
Let $p \in Pos(l_1)$ be such that $l_1|_p$ is not a variable and let θ be an mgu of $l_1|_p \stackrel{?}{=} l_2$.

This determines a **critical pair**

$\langle \theta r_1, (\theta l_1)[\theta r_2]_p \rangle :$



Critical Pair Lemma:

If $s \rightarrow_R t_i, i = 1, 2$, then $t_1 \downarrow t_2$ or $t_i = s[u_i]_p, i = 1, 2$, where $\langle u_1, u_2 \rangle$ or $\langle u_2, u_1 \rangle$ is an instance of a critical pair of R .

Critical pair theorem:

A TRS is locally confluent iff all its critical pairs are joinable.

→ A terminating TRS is confluent iff all its critical pairs are joinable.

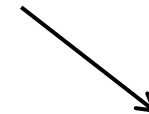
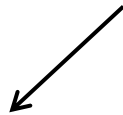


$$(1) \quad f(f(x, y), z) \rightarrow f(x, f(y, z))$$

$$(2) \quad f(i(x_1), x_1) \rightarrow e$$

$$\text{mgu: } \{x \mapsto i(x_1), y \mapsto x_1\}$$

$$f(f(i(x_1), x_1), z)$$



$$f(i(x_1), f(x_1, z))$$

$$f(e, z)$$



[1] Baader, F., & Nipkow, T. (1999). Term rewriting and all that. Cambridge: Cambridge University Press.