

# Formal Techniques for Software Engineering: Process Calculi

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# Process Algebras

## What is a process algebra

- A set of terms
- An Operational Semantics associating LTs's to terms
- An Equivalence relations equating terms exhibiting "similar" behavior

## Set of Operators

- Basic Processes
- Sequentialization, Choice
- Parallel Composition, Abstraction
- Recursion

## Equivalences

- Trace, Testing, Bisimulation Equivalences
- ... many others ...
- Variants taking into account that some actions are unobservable

# CCS: Calculus of Communicating Processes

Milner - 1980

The set of actions  $Act_\tau$  consists of a set of labels  $\Lambda$ , of the set  $\bar{\Lambda}$  of complementary labels and of the distinct action  $\tau$ , the syntax is

$$E ::= nil \mid X \mid \mu.E \mid E \setminus L \mid E[f] \mid E_1 + E_2 \mid E_1|E_2 \mid recX.E$$

Moreover we have:

- $\mu \in Act_\tau$ ;
- $L \subseteq \Lambda$ ;
- $f : Act_\tau \rightarrow Act_\tau$ ;
- $f(\bar{\alpha}) = \overline{f(\alpha)}$  and  $f(\tau) = \tau$ .

CCS has been studied with Bisimulation and Testing Semantics

# SCCS: Synchronous Calculus of Communicating Processes

Milner - 1983

The set of actions  $Act$  is an Abelian group containing a set of labels  $\Lambda$ , and of complementary actions  $\bar{\Lambda}$  with over-dashed actions, the neutral element is 1, the syntax is

$$E ::= nil \mid X \mid \mu : E \mid E \upharpoonright L \mid E_1 + E_2 \mid E_1 \times E_2 \mid recX.E$$

where

- $\mu \in Act \cup \{1\}$ ,
- $L \subseteq \Lambda$ ,
- $:$  denotes action prefixing

There is no relabelling operator, it is expressible via the other operators.

SCCS has been studied with Bisimulation Semantics

# LOTOS: Language of Temporal Order Specification

Standard ISO - 1988

The set of actions  $\Lambda_i$  contains a set of labels  $\Lambda$  and the distinct label  $i$ , the syntax is

$$E ::= \text{stop} \mid \text{exit} \mid \mu; E \mid E/L \mid E[f] \mid E_1 \gg E_2 \mid E_1 [> E_2 \\ \mid E_1 + E_2 \mid E_1 \parallel E_2 \mid E_1 \parallel\!\!\parallel E_2 \mid E_1 \parallel [L] \parallel E_2 \mid A$$

- $\mu \in \Lambda_i$ ,  $L \subseteq \Lambda$ ,  $f : \Lambda \rightarrow \Lambda$ ;
- the operator  $;$  denotes action prefixing;
- the operator  $\gg$  denotes sequential composition;
- $A$  is a process constant.

LOTOS has been studied with Bisimulation and Testing Semantics

# ACP: Algebra of Communicating Processes

Bergstra-Klop - 1984

The set of actions  $\Lambda_\tau$  consists of a finite set of labels  $\Lambda$  and of special action  $\tau$ , the syntax is

$$E ::= \sqrt{\quad} \mid a \mid E \setminus L \mid E / L \mid E[f] \mid E_1 \cdot E_2 \mid E_1 + E_2 \\ \mid E_1 \parallel E_2 \mid E_1 \parallel\!\!\parallel E_2 \mid E_1 \mid_c E_2 \mid \partial_H(p) \mid \delta \mid A$$

- $a \in \Lambda_\tau$ ,  $L \subseteq \Lambda$ ,  $f : \Lambda \rightarrow \Lambda$ ;
- the operator  $\cdot$  denotes sequential composition;
- $\partial_H(p)$  is the hiding operator;
- $\delta$  is the deadlocked process;
- $A$  is a process constant.

ACP has been studied with Bisimulation and Branching Bis. Semantics

# Axiomatic Semantics

## Groups in Abstract Algebra

A *group* is a set  $G$  of abstract objects and of an operator  $\star : G \times G \rightarrow G$  such that the following axioms hold:

- $a \star (b \star c) = (a \star b) \star c$ ,
- $\exists u \in G : u \star a = a = a \star u$ ,
- $\forall a \in G, \exists a^{-1} \in G : a^{-1} \star a = a \star a^{-1} = u$ .

A group is any model of the above equational theory. The notion of groups is used to abstract from details and work with symbols rather than numbers.

Within ACP a process algebra is any mathematical structure, consisting of a set of objects and set of operators, like, e.g., sequential, nondeterministic or parallel composition, that enjoy the a given number of properties as specified by given axioms.

# ACP and Axiomatic Semantics

## Atomic Actions

$\Lambda$  is a finite set of atomic actions:  $a, b, \dots$  denote specific actions, while  $v$  and  $w$  denote generic actions.

## ACP Syntax

**BPA**  $p ::= v \mid p_1 + p_2 \mid p_1 \cdot p_2$

**CPA**  $p ::= v \mid p_1 + p_2 \mid p_1 \cdot p_2 \mid p_1 \parallel p_2 \mid p_1 \parallel\!\!\! \parallel p_2 \mid p_1 |_c p_2$

**ACP**  $p ::= v \mid p_1 + p_2 \mid p_1 \cdot p_2 \mid p_1 \parallel p_2 \mid p_1 \parallel\!\!\! \parallel p_2 \mid p_1 |_c p_2 \mid \partial_H(p) \mid \delta$

## Communication Functions

$\gamma : \Lambda \times \Lambda \rightarrow \Lambda \cup \{\delta\}$  ( $\delta$  not in  $\Lambda$ ), yields the corresponding communication action  $\gamma(a, b)$ , if  $a$  e  $b$  are meant to communicate and yields  $\delta$  otherwise.  
Function  $\gamma$  can be defined freely but it has to satisfy:

$$\gamma(a, b) = \gamma(b, a)$$

$$\gamma(\gamma(a, b), c) = \gamma(a, \gamma(b, c))$$



# Axioms for ACP

## Axioms for BPA

$$(A1) \quad x + y = y + x$$

$$(A2) \quad (x + y) + z = x + (y + z)$$

$$(A3) \quad x + x = x$$

$$(A4) \quad (x + y) \cdot z = x \cdot z + y \cdot z$$

$$(A5) \quad (x \cdot y) \cdot z = x \cdot (y \cdot z)$$

# Axioms for CPA

## New Axioms for CPA

$$(M1) \quad x \parallel y = x \parallel y + y \parallel x + x|_c y$$

$$(LM2) \quad v \parallel y = v \cdot y$$

$$(LM3) \quad (v \cdot x) \parallel y = v \cdot (x \parallel y)$$

$$(LM4) \quad (x + y) \parallel z = x \parallel z + y \parallel z$$

$$(CM5) \quad v|_c w = \gamma(v, w)$$

$$(CM6) \quad v|_c (w \cdot y) = \gamma(v, w) \cdot y$$

$$(CM7) \quad (v \cdot x)|_c w = \gamma(v, w) \cdot x$$

$$(CM8) \quad (v \cdot x)|_c (w \cdot y) = \gamma(v, w) \cdot (x \parallel y)$$

$$(CM9) \quad (x + y)|_c z = x|_c z + y|_c z$$

$$(CM10) \quad x|_c (y + z) = x|_c y + x|_c z$$

## Axioms for ACP

### New Axioms for ACP

$$(A6) \quad x + \delta = x$$

$$(A7) \quad \delta \cdot x = \delta$$

$$(LM11) \quad \delta \parallel x = \delta$$

$$(D2) \quad \partial_H(v) = \delta \quad \text{if } v \in H$$

$$(D3) \quad \partial_H(\delta) = \delta$$

$$(D4) \quad \partial_H(x + y) = \partial_H(x) + \partial_H(y)$$

$$(D5) \quad \partial_H(x \cdot y) = \partial_H(x) \cdot \partial_H(y)$$

$$(D1) \quad \partial_H(v) = v \quad \text{if } v \notin H$$

$$(CM12) \quad \delta|_c x = \delta$$

$$(CM13) \quad x|_c \delta = \delta$$

# Models for ACP

## Correctness and Completeness of Models

- Any of the set of axioms considered above induces an *equality relation*, denoted by  $=$ .
  - A *model* for an axiomatization is a pair  $\langle \mathcal{M}, \phi \rangle$ , where  $\mathcal{M}$  is a set and  $\phi$  is a function that associates elements of  $\mathcal{M}$  to ACP terms. We then have
    - 1  $\langle \mathcal{M}, \phi \rangle$  is *correct* if  $s = t$  implies  $\phi(s) = \phi(t)$
    - 2  $\langle \mathcal{M}, \phi \rangle$  is *complete* if  $\phi(s) = \phi(t)$  implies  $s = t$ , for every pair of terms  $s$  and  $t$ .
- 
- 1 Any model of (A1)-(A5) is a **BPA**;
  - 2 Any model of (A1)-(A5) plus (M1), (LM2)-(LM4), (CM5)-(CM10) is a **CPA**;
  - 3 Any models of ALL the axioms seen above is an **ACP**.

# Models of BPA

## Initial Models

- The simplest model for BPA has as elements the equivalence classes induced by  $\equiv$ , i.e. all BPA terms obtained starting from atomic action, sequentialization and nondeterministic composition and mapping each term  $t$  to its equivalence class  $\llbracket t \rrbracket$  as determined by  $\equiv$ .
- This model is correct and complete and is known as *initial model* for the axiomatization.

Other, more complex models can be obtained by using LTS and factorizing them via bisimulation.

## Operational Models for BPA

BPA operational semantics is defined by a *doubly labelled transition system*  $\langle BPA, \Lambda, \rightarrow, \sqrt{\nu} \rangle$  where

- $BPA$  is the set of terms generated by the corresponding syntax;
- $\Lambda$  is the actions alphabet;
- $\rightarrow : BPA \times \Lambda \times BPA$  is the transition relation;
- $\sqrt{\nu}$  is an auxiliary predicate indicating that a process can terminate after executing action  $\sqrt{\nu}$ .

$$\text{(SELF)} \quad \overline{\nu \sqrt{\nu}}$$

$$\text{(ALT1)} \quad \frac{x \sqrt{\nu}}{x + y \sqrt{\nu}}$$

$$\text{(ALT2)} \quad \frac{x \xrightarrow{\nu} x'}{x + y \xrightarrow{\nu} x'}$$

$$\text{(ALT3)} \quad \frac{y \sqrt{\nu}}{x + y \sqrt{\nu}}$$

$$\text{(ALT4)} \quad \frac{y \xrightarrow{\nu} y'}{x + y \xrightarrow{\nu} y'}$$

$$\text{(SEQ1)} \quad \frac{x \sqrt{\nu}}{x \cdot y \xrightarrow{\nu} y}$$

$$\text{(SEQ2)} \quad \frac{x \xrightarrow{\nu} x'}{x \cdot y \xrightarrow{\nu} x' \cdot y'}$$

# Axioms and Bisimilarity

## Correspondence between Axiomatic and Operational Semantics

- Equality = as induced by (A1)-(A5) is *correct* relatively to bisimilarity  $\sim$ , i.e., if  $p = q$  then  $\mathcal{LTS}(p) \sim \mathcal{LTS}(q)$ ;
- Equality = as induced by (A1)-(A5) is *complete* relatively to bisimilarity  $\sim$ , i.e., if  $\mathcal{LTS}(p) \sim \mathcal{LTS}(q)$  then  $p = q$ .

# TCSP: Theoretical Communicating Sequential Processes

Brookes-Hoare-Roscoe - 1984

The set of actions is a set  $\Lambda$ , and the syntax is

$$E ::= \text{Stop} \mid \text{skip} \mid a \rightarrow E \mid E_1 \sqcap E_2 \mid E_1 \sqcup E_2 \mid E_1 \parallel [L] E_2 \mid E/a$$

where

- $a \in \Lambda$ ,  $L \subseteq \Lambda$ ,  $f : \Lambda \rightarrow \Lambda$ ,
- the operators  $\sqcap$  and  $\sqcup$  denote internal and external choice respectively;
- the operator  $\rightarrow$  denotes action prefixing

CSP has been studied with Failure Semantics - a variant of Testing Sem.



# Failure Sets

- 1  $\langle s, V \rangle \in F \implies V$  finite.
- 2  $\langle \epsilon, \emptyset \rangle \in F$ , where  $\epsilon$  denotes the empty sequence and  $\emptyset$  the empty set. Refusal-sets are not-empty.
- 3  $\langle st, \emptyset \rangle \in F \implies \langle s, \emptyset \rangle \in F$ .

The set of traces needs to be prefix-closed.

- 4  $V \subseteq W$  e  $\langle s, W \rangle \in F \implies \langle s, V \rangle \in F$ .

Refusal sets are downwards closed.

- 5 If  $U = \{a \mid \langle sa, \emptyset \rangle \in F\}$  and  $W \subseteq_f (A - U)$  then  $\langle s, V \rangle \in F \implies \langle s, V \cup W \rangle \in F$ .

If from a state reachable via trace  $s$  an action  $a$  cannot be performed then after  $s$  there must be a refusal set containing  $a$ , i.e., if  $\langle sa, \emptyset \rangle \notin F$  and  $\langle s, V \rangle \in F$  then  $\langle s, V \cup \{a\} \rangle \in F$ .

## Failure Semantics for TCSP

- $\mathcal{F}[\text{Stop}] = \{\langle \epsilon, V \rangle \mid V \subseteq A\}$
- $\mathcal{F}[\text{skip}] = \{\langle \epsilon, V \rangle \mid V \subseteq A\} \cup \{\langle \surd, V \rangle \mid V \subseteq A\}$
- $\mathcal{F}[a \rightarrow P] = \{\langle \epsilon, V \rangle \mid V \subseteq A - \{a\}\} \cup \{\langle as, W \rangle \mid \langle s, W \rangle \in \mathcal{F}[P]\}$
- $\mathcal{F}[P_1 \square P_2] = \{\langle \epsilon, V \rangle \mid \langle \epsilon, V \rangle \in \mathcal{F}[P_1] \cap \mathcal{F}[P_2]\} \cup \{\langle s, W \rangle \mid \langle s, W \rangle \in \mathcal{F}[P_1] \cup \mathcal{F}[P_2] \text{ and } s \text{ is a non empty sequence of actions}\}$
- $\mathcal{F}[P_1 \sqcap P_2] = \mathcal{F}[P_1] \cup \mathcal{F}[P_2]$
- $\mathcal{F}[P_1 \parallel_L P_2] = \{\langle u, V \cup W \rangle \mid V - L = W - L \wedge \langle s, V \rangle \in \mathcal{F}[P_1] \wedge \langle t, W \rangle \in \mathcal{F}[P_2] \wedge u \in \parallel_L(s, t)\} - \parallel_L(s, t)$  denotes the merging of  $s$  and  $t$  considering synchronization of actions in  $L$ .
- $\mathcal{F}[P/a] = \{\langle s/a, V \rangle \mid \langle s, V \cup \{a\} \rangle \in \mathcal{F}[P]\}$ , -  $s/a$  denotes the sequence obtained from  $s$  by removing all occurrences of  $a$ .

# Testing and Failures for CSP

## Correspondence between Denotational and Operational Semantics

- $\mathcal{F}[P] = \mathcal{F}[Q]$  if and only if  $\mathcal{LTS}(P) \simeq_{test} \mathcal{LTS}(Q)$ ;